

## PATENT

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Docket No. 2705R-05

## NEW APPLICATION TRANSMITTAL

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on August 7, 2001 "Express Mail Post Office to Addressee". Mailing Label Number ET019029068US in an envelope addressed to the: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Nancy S. Dedek

(Name of person mailing paper)

Nancy S. Dedek  
(Signature of person mailing paper)

Inventor(s): Charles P. Bryant et al.

Title: Dispersant-Viscosity Improvers for Lubricating Oil Compositions

## 1. Type of Application

☐ Original☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)

## 2. Fee Calculation (37 CFR 1.16)

☒ Regular application

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c)) 26 - 20=	6 X	\$18.00	108.00
Independent Claims (37 CFR 1.16(b)) 2 - 3=	0 X	\$80.00	0
Multiple dependent claim(s), if any (37 CFR 1.16(d))	None	\$270.00	0

☐ Amendment cancelling extra claims enclosed.

## 3. Fee Payment Being Made and Authorization to Charge Fees

☒ basic filing fee \$ 818.00☐ recording assignment (\$40.00; 37 CFR 1.21(h)) \$ \_\_\_\_\_

☒ Charge Account No. 12-2275 in the amount of \$ 818.00. A duplicate of this transmittal is attached.

**4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) Application**38 Pages of specification1 Pages of Abstract8 Pages of claims0 Sheets of drawing

☒ Cancel in this application claims 1-11 and 19-44 before calculating the filing fee.  
(At least one original independent claim must be retained for filing purposes.)

**5. Additional papers enclosed**☒ Preliminary Amendment

☒ Copy of Information Disclosure  
Statement (37 CFR 1.98) from  
09/312,597

☒ Copy of Form PTO-1449 from 09/312,597

☐ Cited References (37 CFR 1.98 (d)  
MPEP 609 A (2)

☐ Other☒ Return postcard receipt

☒ Incorporation by reference  
statement of previous appln.

**6. Declaration or oath**☐ Newly executed and enclosed☐ Unexecuted and enclosed

☒ Copy from prior  
application (for  
continuation/divisional)

**7. Assignment**

☐ An assignment of the invention to the Lubrizol Corporation, a corporation of the  
State of Ohio, 29400 Lakeland Boulevard, Wickliffe, Ohio 44092, is attached.

**8. Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following additional fees by  
this paper and during the entire pendency of this application to Account  
No. 12-2275:

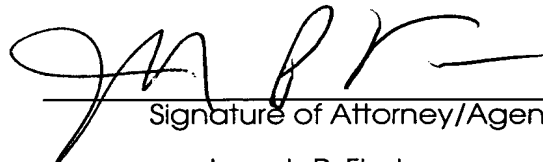
☒ 37 CFR 1.16(a)-(e)☒ 37 CFR 1.17 (application processing fees)

☒ charge any deficiency in the above fee or credit any overpayment to  
Deposit Account No. 12-2275

Total fees enclosed \$ 818.00

Reg. No. 31,758

Telephone No. 440- 347-5503  
Facsimile No. 440-347-1110

  
\_\_\_\_\_  
Signature of Attorney/Agent

Joseph P. Fischer  
\_\_\_\_\_  
Type or print name of Attorney

The Lubrizol Corporation  
29400 Lakeland Boulevard  
Wickliffe, Ohio 44092-2298

**9. Benefit of Prior U.S. Application(s) (35 USC 120)**

☒ The new application being transmitted claims the benefit of prior U.S.  
application(s). Enclosed find an additional 1 Added Page for New  
Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

☐ Plus an Additional \_\_\_\_ Added Pages For Papers Referred To In Item 5 Above

☐ This transmittal ends with this page.

# **ADDED PAGE FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

## **10. Relate Back—35 U.S.C. 120**

☒ Amend the Specification by inserting before the first line the sentence:

"This is a

- ☐ continuation    ☐ continuation-in-part    ☒ divisional of copending application(s)  
Serial No. 09/312,594, filed May 14, 1999 which is a divisional of application Serial  
No. 08/492,276 filed June 19, 1995, now U.S. Patent 5,969,068, the disclosures of which are  
hereby incorporated herein by reference.

## **11. Maintenance of Copendency of Prior Application**

- ☐ Extension of time in prior application  
☐ A petition, fee and response extends the term in the pending prior application until \_\_\_\_\_  
☐ A copy of the petition filed in prior application is attached

## **12. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

(complete applicable item (a) or (b) below)

(a) ☒ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

☒ the same

☐ less than those named in the prior application and it is requested that the following inventor(s) identified for the prior application be deleted:

---

*(type name(s) of inventor(s) to be deleted)*

(b) ☐ This application discloses and claims additional disclosure. With respect to the prior application the inventor(s) are

- ☐ the same  
☐ the following additional inventor(s) have been added

---

*(type name(s) of inventor(s) to be added)*

## **13. Abandonment of Prior Application (if applicable)**

☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

## **14. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment**

- ☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently)

## **15. Copy of Prior Application as Filed (37 CFR 1.60 only)**

The attached papers are a true copy of what is shown in my records to be the above-identified prior application, including the oath or declaration originally filed. No amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein (37 CFR 1.60).